

HIV vaccine strategies: overview and perspective

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INTRODUCTION

A) VACCINE

B) VACCINE HESITANCY



Vaccine = *lat.* VACCINUS, COW

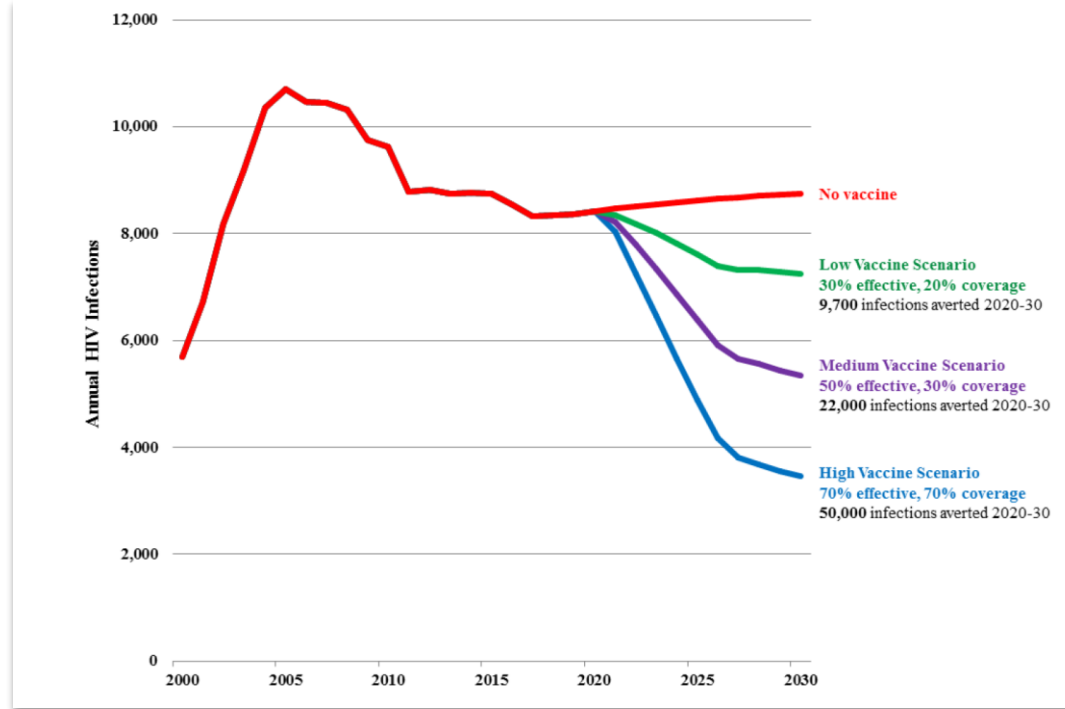
*"As we must account for every idle word, so must
we account for every idle silence."*

Benjamin Franklin
U.S. Diplomat

ENDING HIV WILL REQUIRE OPTIMIZING TREATMENT AND PREVENTION TOOLS



THE POTENTIAL IMPACT OF AN HIV VACCINATION



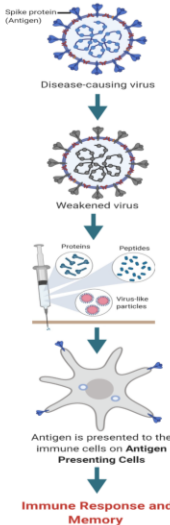
Harmon T, Schwartlander B, Vaccines,
2015

TYPES OF VACCINES

Live Attenuated Vaccine

These vaccines contain **live virus** particles that have been **weakened** to keep them from causing disease.

They create a strong immune response. Some attenuated vaccines might not be suitable for people with compromised immune systems.

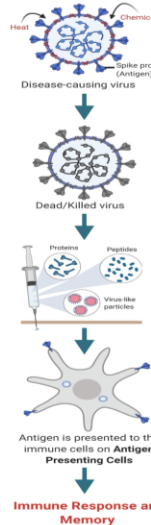


Currently used in:
MMR (Measles/mumps/rubella)
Chickenpox
COVID vaccines in the pipeline:
Codagenix; Indian Immunologicals Ltd

Inactivated Vaccine

These vaccines contain **whole virus particles** that have been **killed or inactivated** to keep them from causing disease.

They are safer as the virus is already dead. Inactivated vaccines require booster doses as the immunity conferred by these vaccines is weaker than live vaccines.

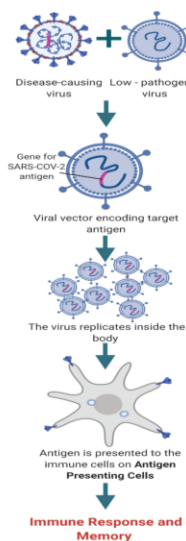


Currently used in:
Polio
COVID vaccines in the pipeline:
Sinovac; Sinopharm; Bharat Biotech

Replicating Viral Vector Vaccine

These vaccines use **low-pathogenic viruses**, which are largely harmless, and after them into **viral vectors** that will produce some of the same proteins as the disease-causing virus.

This creates a **strong immune response**, but may not work for people who are already immune to the low pathogenic virus.

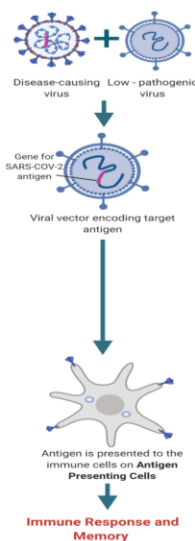


Currently used in:
Used in veterinary medicine
COVID vaccines in the pipeline:
Therion Bioscience; University of Pittsburgh

Non-Replicating Viral Vector Vaccine

These vaccines are similar to **replicating viral vector** vaccines except that they **cannot replicate** inside the body as the key viral replication genes are deleted from the low pathogenic vector virus.

Improved **efficacy and safety**, but require high doses to confer immunity.

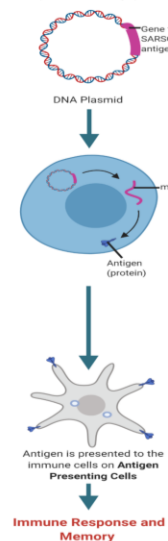


Currently used in:
Ebola
COVID vaccines in the pipeline:
University of Oxford and AstraZeneca

DNA Vaccine

These vaccines use **DNA plasmids** containing a **gene for SARS-CoV-2** along with additional genetic elements that will produce some of the same **antigenic proteins** as the disease-causing virus.

They are easy to develop and produce. There is no risk of infection but there is a possibility that the immune system does not fight against the antigen (tolerance to the antigen).

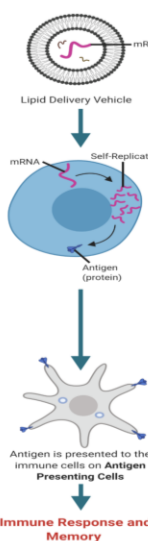


Currently used in:
No currently available human DNA vaccines
COVID vaccines in the pipeline:
Inovio; Genexine; Zydus Cadila

RNA Vaccine

These vaccines use a piece of **messenger RNA (mRNA)** that will produce some of the same **antigenic proteins** as the disease-causing virus.

Risk of being integrated to the host genome is averted but, sometimes the RNA molecules may trigger an unintended immune response in the body.

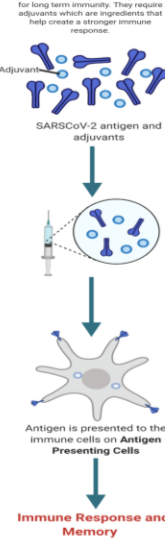


Currently used in:
No currently available human RNA vaccines
COVID vaccines in the pipeline:
Moderna; CureVac; Pfizer; BioNTech; Fosun Pharma

Subunit Vaccine

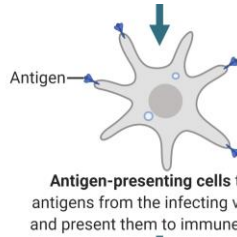
These vaccines use **antigenic protein** from the disease-causing virus **without any genetic material**.

They are relatively safer as there is no genetic material and they cannot replicate inside the body. They focus the immune response on the most important part of the virus for protection.

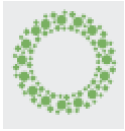
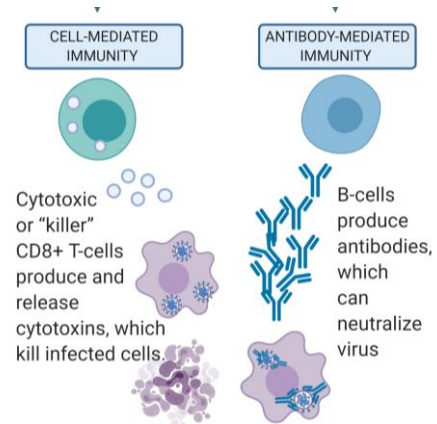


Currently used in:
HPV (non-oncogenic virus); Pertussis; Hepatitis B
COVID vaccines in the pipeline:
Novavax; AdaptVac

HOW VACCINES WORK?



This teaches “helper” CD4+ T-cells to recognize the antigen



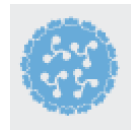
T cell-mediated response: Stimulate branch of immune system (mainly T cells) that recognizes and destroys infected cells



Neutralizing antibody response: Induce antibodies that pathogens, preventing pathogens from infecting the body’s cells



Non-neutralizing antibody response: Induce antibodies that recognize pathogens and recruit other immune cells to help destroy the pathogens or the infected cells



Combination responses: Stimulate multiple parts of the adaptive immune system to recognize and defend the body against pathogens

THE CHALLENGES OF ACHIEVING AN EFFECTIVE HIV VACCINE

- Wide viral genetic diversity and variability, particularly of the HIV envelope glycoprotein Env, a complex trimer with multiple antibody epitopes, which is a key target of neutralizing antibodies (Partly driven by errant reverse transcriptase)
- Immune escape: HIV that can evade immune responses (including neutralizing antibodies)
 - High mutation rates (estimated at 1-10 mutations per genome per replication cycle) and recombination
 - Broad conformational adaptability
 - Widespread glycan shielding of Env
- Dissimilar animal models (eg, nonhuman primate, humanized mouse) used in preclinical studies
- Certain vaccine platforms not viable for HIV because of risk of proviral DNA integration in host genome
- Multiple components of immunity (eg, humoral, cell mediated) that need stimulation in vaccine design rather than a singular approach or methodology
- Cost, feasibility, and investment, and TIME!
- Growing repertoire and availability of effective biomedical HIV prevention approaches that pose challenges for vaccine trial recruitment

1.Ng'uni T et al *Front Immunol.* 2020

2.Laher F. *Arch Virol.* 2020

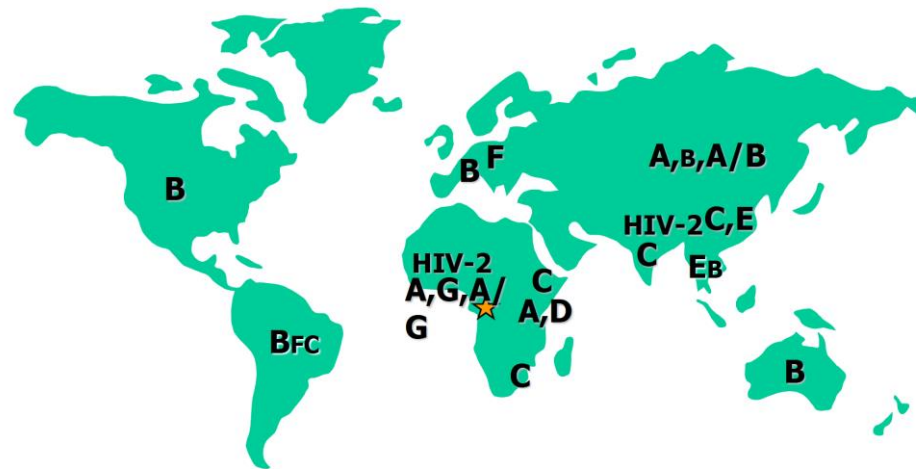
3.Hannah S *J Int AIDS Soc.* 2022

4.Harris JE. *Health Policy Technol.* 2022

5.Morris L. mRNA vaccines offer hope for HIV. *Nat Med.* 2021

THE CHALLENGES OF ACHIEVING AN EFFECTIVE HIV VACCINE

Geographic Distribution of HIV-1 Subtypes and HIV-2



★ Subtypes A, B, C, D, "E", F, G, H, "I", J, K, CRFs, and untypable





Vaccine hesitancy

*"There's something about human behavior
that we're still not really understanding"*

Dr. Nicole Lurie

*U.S. director of the Coalition for Epidemic
Preparedness Innovations*

INFODEMICS



The **volume and velocity of information** in a digitalized, interconnected world presents new challenges.

- **Acceleration of mis- and disinformation**
- **Difficulty for individuals to assess truth from falsehoods**
 - Risk-taking health behaviors
 - Mistrust in health authorities
- **Difficulty for the scientific community to stay updated with rapid publication**

Source: Palayew A, Norgaard O, Safreed-Harmon K, Andersen TH, Rasmussen LN, Lazarus JV. Pandemic publishing poses a new COVID-19 challenge. Nat Hum Behav. 2020 Jul;4(7):666-669. doi: 10.1038/s41562-020-0911-0. PMID: 32576981.

THE ERAS HIV VACCINE TOUR

- A) 40 YEARS OF AIDS VACCINE RESEARCH
- B) PROTEIN BASED HIV VACCINES
- C) ADENOVIRUS BASED HIV VACCINES
- D) ALVAC BASED HIV VACCINES



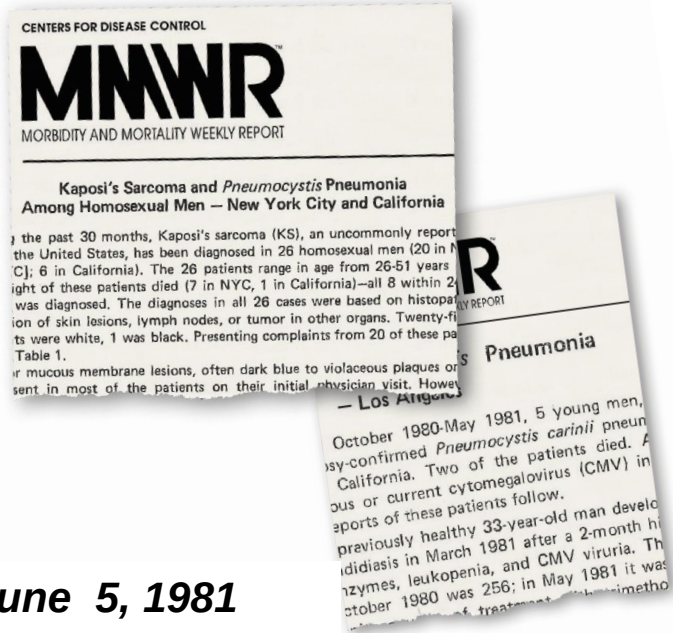
40 years of of AIDS vaccine research

*"Believe and work hard, and all your dreams will
come true."*

Lady Gaga

American singer-songwriter and actress

40 YEARS OF AIDS VACCINE RESEARCH



June 5, 1981

There have been more than 250 HIV vaccine trials, most of them early-stage, looking at whether the vaccine is safe and whether we mount an immune response following vaccination. There have been very few vaccine trials—10 or so—that have advanced to the point of looking at efficacy.

40 YEARS OF AIDS VACCINE RESEARCH



December 17, 1986



March 18, 1987

Protein-based HIV vaccines

PROTEIN STRATEGY TO INDUCE ANTIBODIES RESPONSES

	VAX004 Study	VAX003 Study
HIV transmission	Sexual	Blood borne
Volunteers	5,400 5,100 MSM (men who have sex with men) and 300 women	2,500 2,500 men and women IDUs (IV drug users)
Location	North America and the Netherlands	Thailand
Expected annual infection rate	1.5%	4.0%
Expected retention rate	80%	
Duration of follow-up	36 months	
Follow-up after infection	24 months	
Clinical trial sites	61	17
Start date	June 1998	March 1999
Fully enrolled	October 1999	August 2000
Analysis completed	Q1 2003	Q4 2003

	VAX004 Study	VAX003 Study
Vaccine	AIDSVAX [®] B/B'	AIDSVAX [®] B/E
Vaccine design	Bivalent rgp120 with alum	
Vaccine antigens	MNrgp120/HIV-1 plus GNE8 rgp120/HIV-1 (subtype B and B')	MNrgp120/HIV-1 plus A244 rgp120/HIV-1 (subtype B and E)
rgp120 dose	300 µg (MN)/ 300 µg (GNE8)	300 µg (MN)/ 300 µg (A244)
Placebo	alum	
Randomization: Vaccine to Placebo	2:1	1:1
Vaccine administration	Intramuscular (deltoid)	



Adenovirus-based vaccines

ADENOVIRUS STRATEGY TO INDUCE T-CELLS RESPONSES

Trial Name	Phase	Design and Participants	Vaccine Platform	Outcome and Key Findings	NUMBER OF PARTICIPANTS
HVTN 502 (STEP)	Phase 2	Adenovirus serotype 5 (rAd) vectored vaccine, tested on MSM and sex workers in the Americas and Australia	Merck rAd5-gag/pol/nef	Induced strong HIV-specific CD8+ T cells but did not prevent HIV infection or reduce viral replication. Elevated risk of HIV acquisition noted, especially in uncircumcised men with pre-existing Ad5 immunity. Trial halted due to safety concerns.	3000 North America, the Caribbean, South America and Australia
HVTN 503 (Phambili)	Phase 2b	Used the same Merck Ad5 platform as STEP, in South Africa	Merck Ad5	Initially halted and unblinded during enrollment; follow-up showed no increased risk of HIV infection overall, but suggested a higher risk among vaccinated men in extended follow-up.	801, South Africa
HVTN 505	Phase 2b	DNA prime/rAd5 boost vaccine targeting Gag, Pol, Nef, and Env , involving 2504 high-risk participants including men and transgender females engaged in sex with men	DNA/rAd5 - Gag, Pol, Nef, and Env	Halted prematurely due to lack of efficacy; showed no decrease in HIV-1 acquisition or viral load set point. Inverse correlations between DNA/rAd5 vaccine-induced CD8+ T-cell responses to Env protein and HIV-1 infection risk.	2504, United States
HVTN 705 (IMBOKODO)	Phase 2b	Tested the Ad26.Mos4.HIV vector and aluminum-phosphate adjuvanted Clade C gp140 on young women in sub-Saharan Africa	Ad26.Mos4.HIV	Did not prevent HIV infection; vaccine efficacy was 25%. Trial demonstrated safety but lacked efficacy in preventing HIV.	2600, sub-Saharan Africa
HVTN 706 (MOSAICO)	Phase 3	Ad26.Mos4.HIV administered in four vaccinations over one year, targeting MSM and transgender people across various regions	Ad26.Mos4.HIV and Clade C/Mosaic gp140	Ineffective and discontinued in January 2023.	3900, Europe, North America and South America

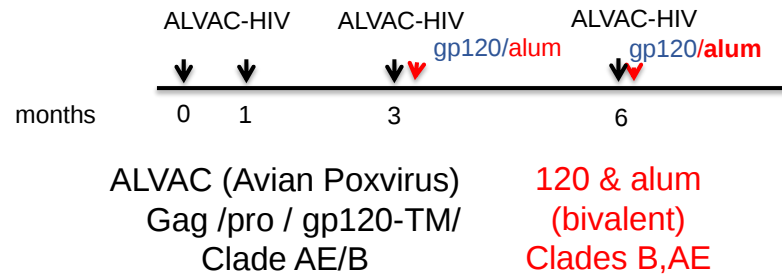
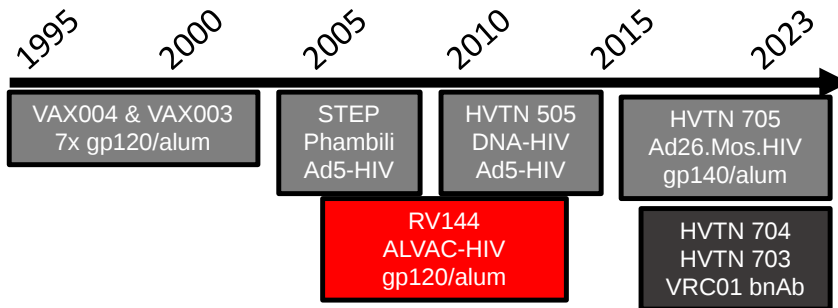
ALVAC-based vaccines

"If you haven't found it yet, keep looking."

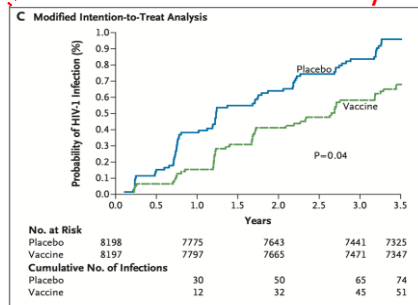
Steve Jobs

Businessman, inventor, and investor

THE RV144 TRIAL



31.2% vaccine efficacy

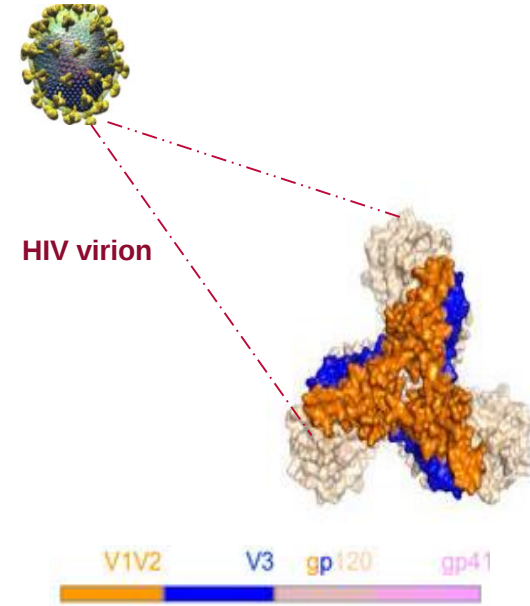


Rerks-Ngarm et al. 2009.

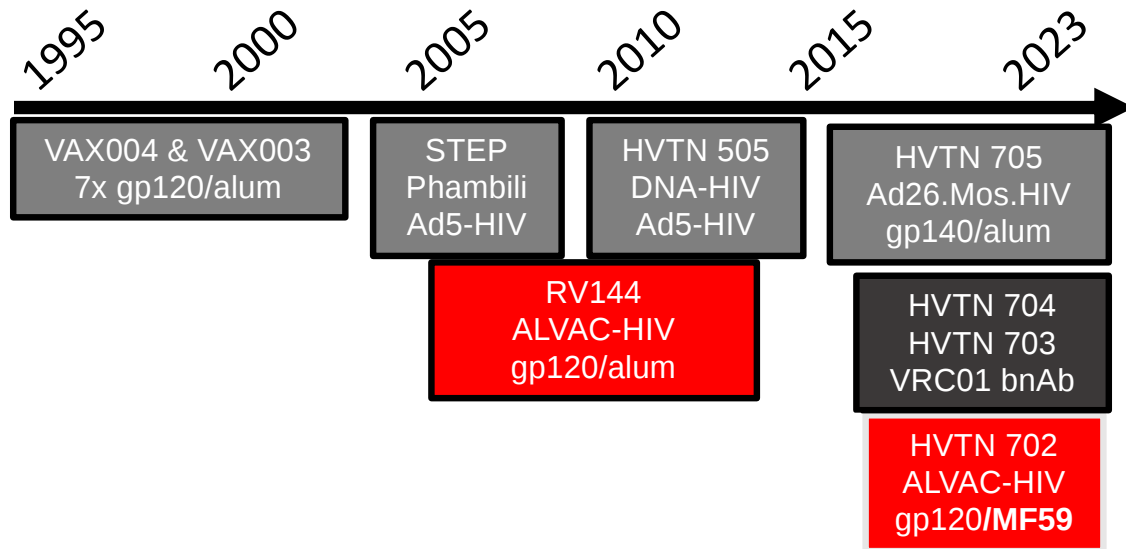
Flynn et al. *J Infect Dis.* 2005.
Pitisuttithum et al. *J Infect Dis.* 2006.
Buchbinder et al. *Lancet.* 2008.
Hammer et al. *NEJM.* 2013.
Gray et al. *NEJM.* 2021.
Corey et al. *NEJM.* 2021.
Rerks-Ngarm et al. *NEJM.* 2009.

RV144 immune correlates of reduced risk

- ❑ Binding, non-neutralizing anti-V1/V2 IgG
- ❑ ADCC in vaccinees with low Env IgA
- ❑ Polyfunctional V2-specific T-cells
 - ❑ V2 contains binding sites for $\alpha_4\beta_7$ (Artos J. *Nature Immunology* 2008)
 - ❑ V2 differ in its conformation (Kwon et al., *Nat. Struct. Mol. Biol.*, 2015)
 - ❑ The helical conformation of V2 co-stimulates T –cells (Goes et al. *PNAS* , 2020)



THE HVTN702 TRIAL: IS THAT THE PERFECT RV144 FOLLOW UP STUDY?



FRANCHINI'S LAB VISION

A) THE IMPORTANCE OF A GOOD SIVmac251 MODEL

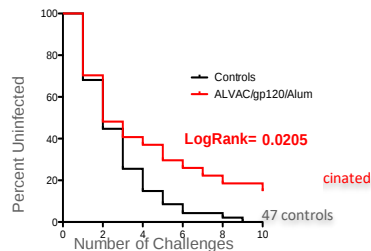
B) ADCC as A NEW CORRELATE OF PROTECTION

C) BREAKING THE DOGMA: EFFEROCYTOSIS

D) V1 DELETED IMMUNOGENS AND THE FIRST IN HUMAN
NCI HIV VACCINE HUMAN TRIAL

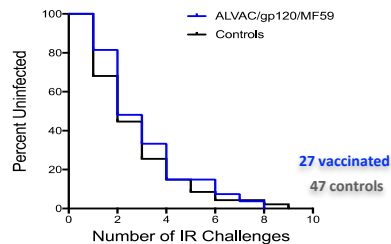
OUR SIV_{mac251} MODEL PREDICTED ALL HUMAN TRIALS

❑ Recapitulated results of RV144



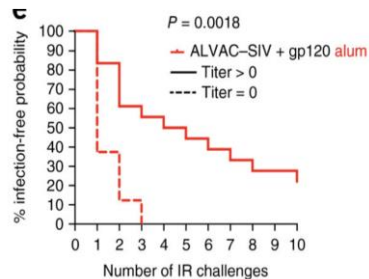
Decreased risk of SIV_{mac251}

❑ Predicted futility of HVTN-702



No decreased risk of SIV_{mac251}

❑ Confirmed Abs to V2 correlate

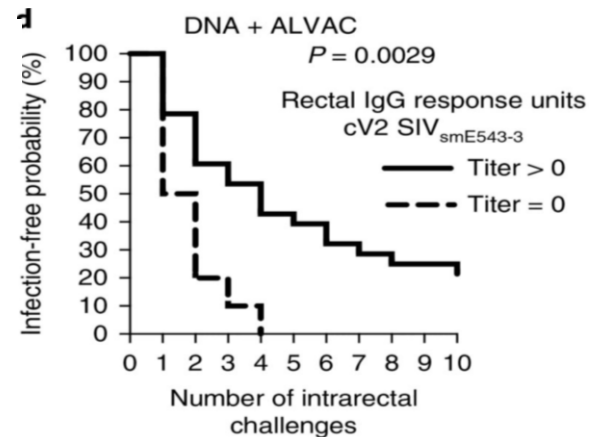


mucosal Abs to V2

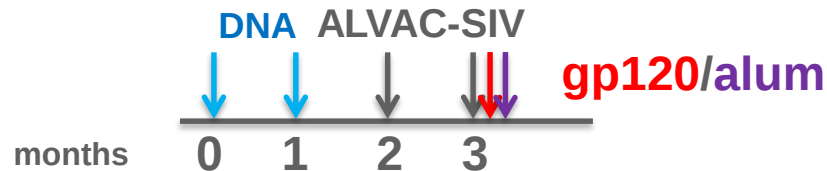
*Vaccari et al. *Nat. Med.*, 2016

OUR SIVmac251 MODEL CAN ADD VALUE TO HIV VACCINE DESIGN

- ❑ Compared priming (DNA/ALVAC/Ad26)
- ❑ Compared viral vectors (NYVAC/ALVAC)
- ❑ Compared Adjuvants (alum/MF59)
- ❑ Compared genetically modified gp120s



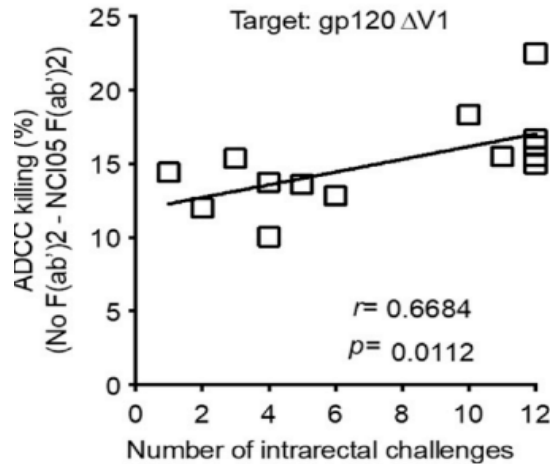
- ❑ Streamlined regimen with DNA/ALVAC/gp120/alum



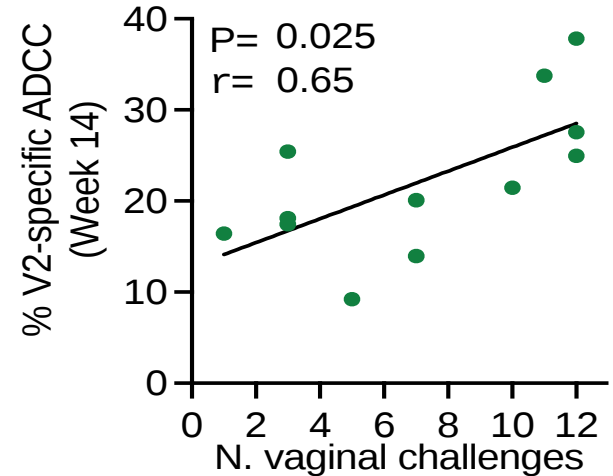
Pegu et al. *J.Virol*, 2014
Fouts et al. *PNAS*, 2015
Gordon et al. *J.Immunol*, 2016
Vaccari et al. *Nature Med.*, 2016
Vaccari et al. *Nature Med.*, 2018
Vaccari et al. *Frontiers in Immunology* 2019
Schifanella et al. *Plos Pathogens* 2019
Gorini et al. *Plos Pathogens* 2021
Silva de Castro et al. *J.Virol*, 2020

ADCC mediated by V2 antibodies correlates with reduced risk of SIVmac251 infection

Males



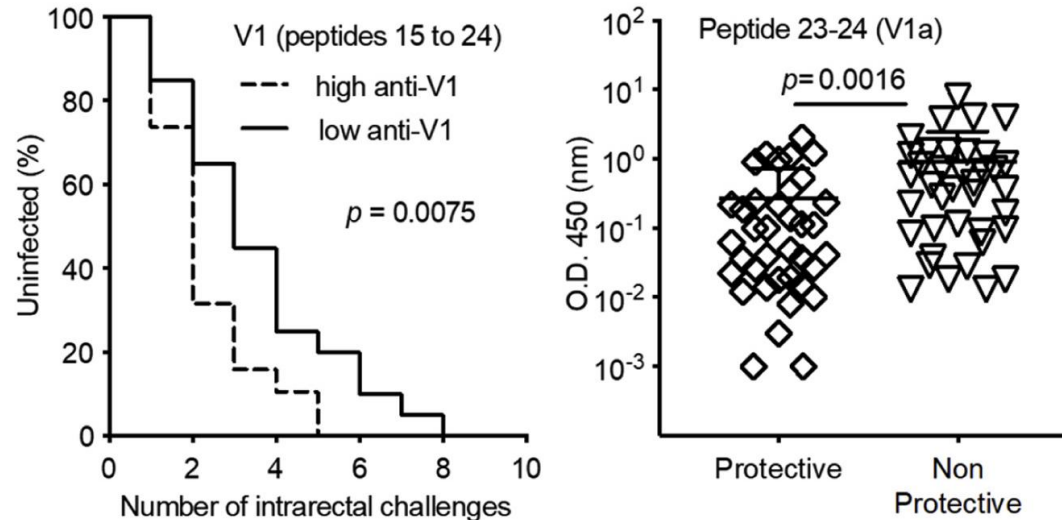
Females



Bissa M. et al *Nat Communication* 2023;
Stamos et al. *J.Virol* ,2023

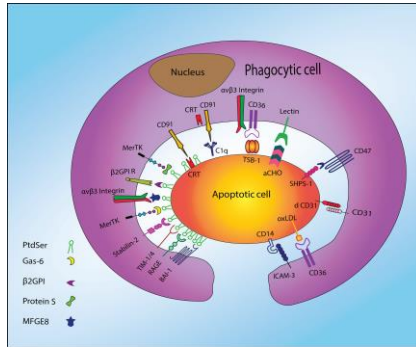
THE V1 ANTIBODY RESPONSES ARE ASSOCIATED WITH INCREASED RISK

Vaccinated animals with higher Abs to V1
acquire SIV_{mac251} early



CD14⁺ cells efferocytosis

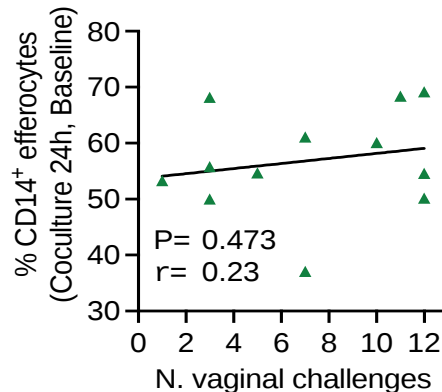
(latin: bring to the grave): **ability of CD14⁺ cells to engulf and destroy apoptotic cells**
Efferocytes are induced by IL-10



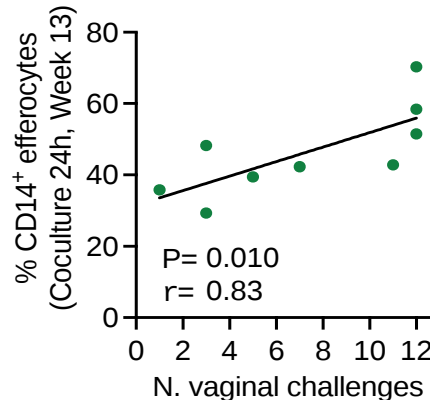
Every day, approximately 0.4% of the estimated 37.2 trillion cells in an adult human die . However, even in tissues where cell turnover is high, apoptotic cells (ACs) are scarce, indicating very high efficiency of and capacity for AC clearance.

Defective efferocytosis= inflammation

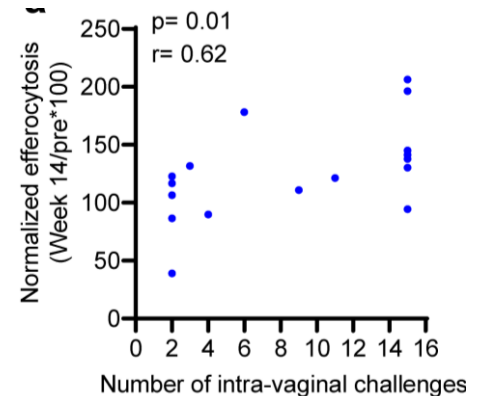
Pre-vaccination



Post-vaccination



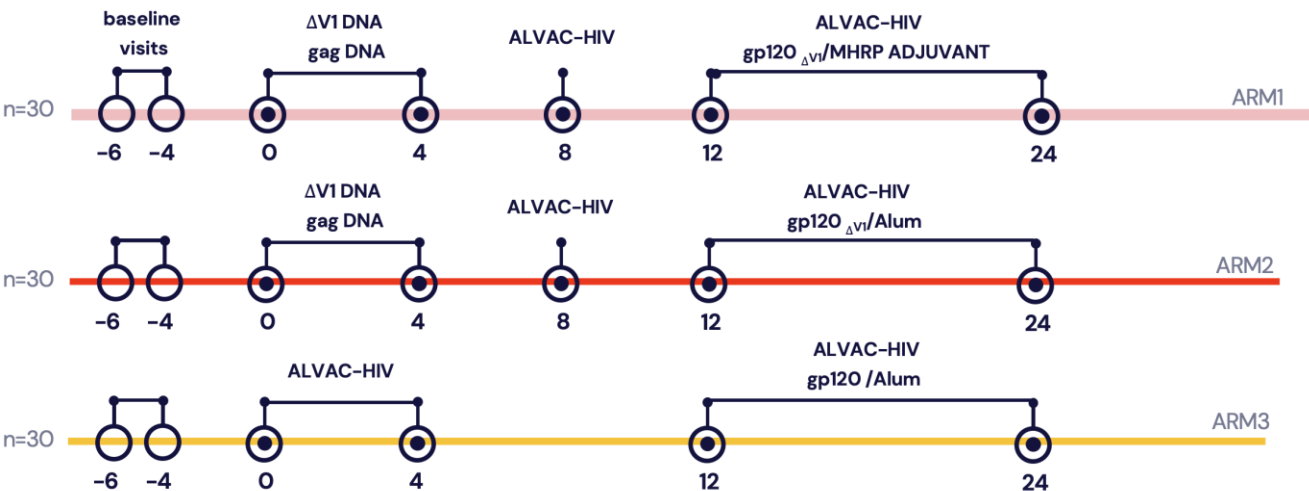
Post-vaccination



Combined Long-term Efferocytosis and ADCC Responses (CLEAR) Phase-I HIV vaccine trial

Schema for vaccination

Participants age: 19-35



$\Delta V1$ gp160 HIV_{AE} DNA
&
p55Gag HIV_B DNA

(NCI)

ALVAC-HIV
+
diluent

(Sanofi)

$\Delta V1$ gp120_{AE}

(NCI)

alum

NIAID

MHRP
ADJUVANT

WRAIR

gp120_{AE}

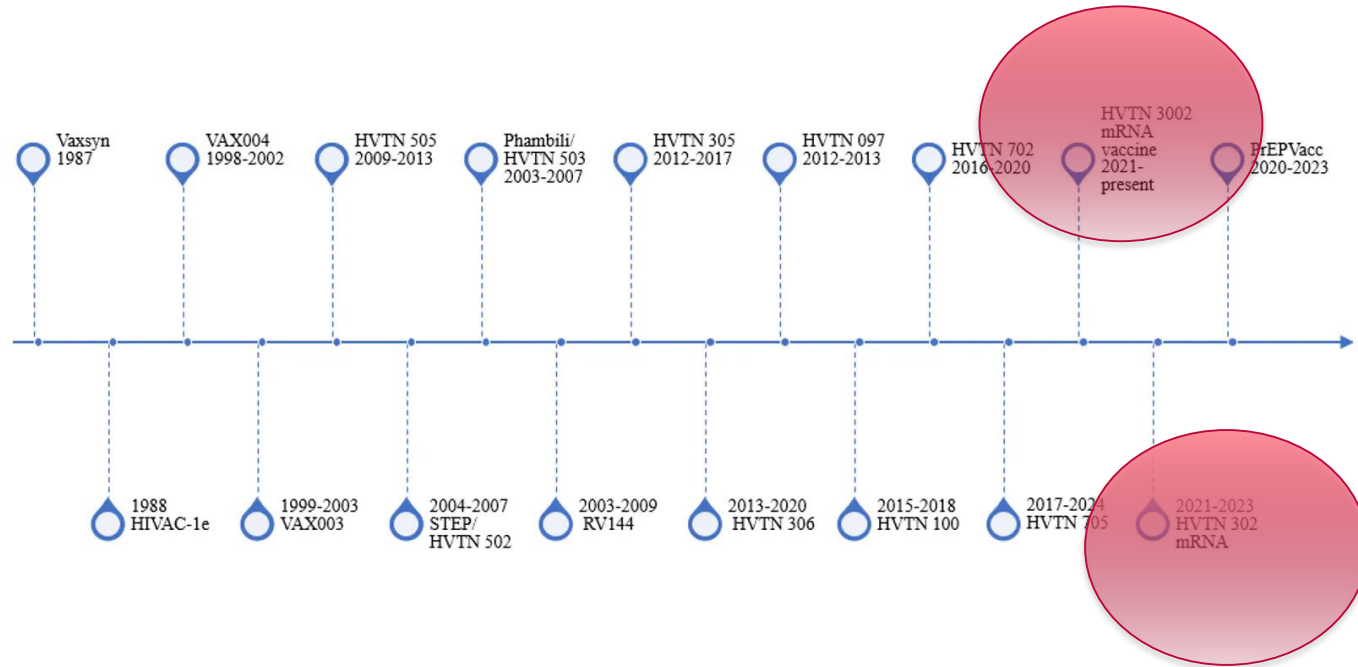
NIAID/Duke

PRINCIPAL INVESTIGATORS

Genoveffa Franchini,
Frank Maldarelli, Julie Ake,
Merlin Robb, Mangala Rao
NCI, MHRP, WRAIR

HIV mRNA VACCINES?

FIGURE. Timeline of Key HIV Vaccine Trials¹



Adapted from Ng'uni T, Chasara C, Ndhlovu ZM. Front Immunol. 2020;11:590780. doi:10.3389/fimmu.2020.590780.

A PHASE I mRNA VACCINE TRIAL

The HVTN 302 study will examine whether the following three experimental HIV mRNA vaccines are safe and can induce an immune response: 1) BG505 MD39.3 mRNA, 2) BG505 MD39.3 gp151 mRNA, and 3) BG505 MD39.3 gp151 CD4KO mRNA

Start Date February 11, 2022

End Date October 13, 2023

Enrollment:108

Age range:18 Years ↔ 55 Years

Population: Cisgender Individuals

Alabama Vaccine CRS

UCLA Vine Street Clinic

Beth Israel Deaconess Medical Center, ACTG CRS

Brigham and Women's Hospital CRS

New York Blood Center

Columbia P&S CRS

University of Rochester HVTN CRS

Penn Prevention CRS

Pittsburg Clinical Research Site

Seattle Vaccine and Prevention CRS

CONCLUSION

HIV VACCINE: WHEN?

Development of Licensed Vaccines

Vaccine	Discovery of etiologic agent	Vaccine developed or licensed in U.S.	Years elapsed
Typhoid	1884	1896	12 years
Pertussis	1906	1926	20 years
Polio	1908	1955	47 years
Measles	1953	1983	30 years
Hepatitis B	1965	1981	16 years
Rotavirus	1970	1998	28 years
Hepatitis A	1973	1995	22 years
Lyme Disease	1982	1998	16 years
HIV	1983	???	> 20 years

From Susan Buchbinder and Johathan Fuchs, S.F. Dept of Health

Vaccine Advocacy

- HIV Vaccine Research: Building on Lessons from COVID
- 1. Sufficient and diversified research funding
- 2. Enhanced global coordination and collaboration
- 3. Support for research innovation and novel trial designs
- 4. Strengthened political commitment and urgency
- 5. Placing communities at the center of vaccine research
- 6. Planning early for success and equitable access



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ccr.cancer.gov